

Unit Trust Change of Investor Details Form

MandG Investments Unit Trusts South Africa (RF) Ltd (Registration number: 1999/005242/06) (the Manager) is an approved CIS management company (#29) under the Collective Investment Schemes Control Act, 2002. Portfolio assets are managed by MandG Investment Managers (Pty) Ltd (Registration number: 2013/051515/07), which is an approved discretionary Financial Services Provider (#45199) for the MandG SA group (M&G Investments).

Our contact details

Sharecall: 0860 105 775

International: +27 21 670 5101

Email: instructions@mymandg.co.za

Web: www.mandg.co.za

Postal address:

PO Box 23167

Claremont

7735

Physical address:

7th Floor, Protea Place

30 Dreyer Street

Claremont

How to complete this form

- Only complete the relevant sections and supply the supporting documentation applicable to your change.
- Where this form is signed in a representative capacity, an authorised individual (authority to act on behalf of the Investor) must sign.
- It is the responsibility of the investor to notify M&G Investments of any changes if and when they occur.
- Information written outside of the relevant fields will not be considered when processing your application.

Document checklist

- This completed, signed and dated form
- Proof of identity*
- Proof of bank account (not older than three months)
- If you are authorised to act on behalf of the investor, please provide proof of authority and complete **Annexure A**

* Please refer to the FICA and Supporting Documentation Annexure for a list of documentation we will accept

What happens next

- Please e-mail a copy of this completed and signed form accompanied by the supporting documentation to instructions@mymandg.co.za. We reserve the right to request additional documents, if required.
- Processing can only happen once all the necessary documentation has been received.

Important information

- **Daily cut-off times:** 11:30 for M&G Money Market Fund
13:30 for all other investment options
- **Debit orders:** Debit order instructions need to be received by the 20th day of the month to process instructions before the 1st working day of the following month.
- **Correspondence preferences:** The default method of communication will be email. If you prefer your correspondence via post, contact Retail Client Services on 0860 105 775 or on info@mandg.co.za.
- **Secure online access:** You will automatically be registered for online access. If you would like your access removed, contact Retail Client Services on 0860 105 775 or on info@mandg.co.za.
Please be advised that we will automatically collect any funds from your specified bank account when making additional investments via the Manager's secure online platform.

1 Existing investor details

Please indicate the Investor Numbers that will be affected by your change

Existing M&G investor number	<input type="text"/>	Existing M&G investor number	<input type="text"/>
Existing M&G investor number	<input type="text"/>	Existing M&G investor number	<input type="text"/>
First name and surname/Entity name	<input type="text"/>		
Authorised contact person	<input type="text"/>		
ID number/Entity registration number <small>(Passport number if foreign national)</small>	<input type="text"/>		

2 Investor details to change

Please only complete the sections applicable to your change

Individual

Title	<input type="text"/>	First name(s)	<input type="text"/>		
Surname	<input type="text"/>				
ID number <small>(Passport number if foreign national)</small>	<input type="text"/>	Passport expiry date	<input type="text"/>	<input type="text"/>	<input type="text"/>
Marital status	Single <input type="checkbox"/>	Married with ante-nuptial contract	<input type="checkbox"/>	Married in community of property	<input type="checkbox"/>

Entity

Entity name	<input type="text"/>
Registration number	<input type="text"/>

Contact details

Residential address	<input type="text"/>										
<input type="text"/>	Country	<input type="text"/>	Postal code	<input type="text"/>							
Postal address <small>(If different to residential)</small>	<input type="text"/>										
<input type="text"/>	Country	<input type="text"/>	Postal code	<input type="text"/>							
Telephone	+	<input type="text"/>	/	<input type="text"/>	-	<input type="text"/>	Cellphone	+	<input type="text"/>	-	<input type="text"/>
Email address	<input type="text"/>										

Provided it differs, would you like us to use the contact details above to update the information we have for you on record? Yes No

3 Correspondence preferences

The default method of communication will be email. If you prefer your correspondence via post, please tick this box

4 Income distributions

Please only complete this section if you would like to change your income distribution option

I would like to change my income distribution option to Payout Reinvest

5 Bank details

For lump sum investments/debit order collections/regular withdrawals/withdrawals and transact access

Please only complete this section if your bank details have changed accompanied by a recent bank statement (not older than 3 months)

Name of bank account holder

ID/Registration number of bank account holder (Only if this is different to the investor and/or the investor is a minor)

Name of bank

Branch name Branch code

Branch city Branch country

Account number

Type of account Current/Cheque Savings

This bank account will be applied across

All current transactions Online transactions Debit orders Regular withdrawals Withdrawals Income distributions

6 Financial adviser

I declare, in respect of the relevant South African laws, regulations, industry guidelines, common law and case law that:

1. I am acting as the Investor's appointed Financial Adviser in accordance with a valid mandate concluded between myself and the Investor.
2. I have verified the identity of the Investor and confirm his/her signature.
3. I have made the necessary disclosures required by law under the Financial Advisory and Intermediaries Services Act, 37 of 2002 (FAIS Act).
4. I am competent and accredited to provide investment advice in respect of the investment(s) reflected in this application form.
5. I have read the application form and the terms and conditions of this investment and have explained them to the Investor. To the extent they apply to me, I agree to be bound by the contents of this application form.
6. I will forward any and all Investor documents to the Investor as soon as I receive them.
7. I have read and accepted the updated Financial Services Provider Terms & Conditions, which apply to me. I am aware that a copy of the updated Financial Services Provider Terms & Conditions is available on M&G's website (www.mandg.co.za/financial-adviser/terms-and-conditions/) and that I may request a copy thereof from the Manager.
8. I have explained all fees relating to the investment to the Investor and I acknowledge that the Investor may instruct the Manager to cease paying the ongoing adviser fee at any time.
9. I will assist the Investor with periodic reviews of his/her investments including, where applicable, annual income revisions, in return for the ongoing adviser fee.
10. I acknowledge and agree that I will only act on the Investor's instruction when submitting any instructions to the Manager for the Investor, either via forms, in writing or via the Manager's secure online platform;
11. I acknowledge and agree that the Manager and M&G Investments will not be held liable for loss or damage which may result from the Manager acting on any instructions submitted to the Manager by me or my office for the Investor, and I hereby indemnify the Manager and M&G Investments against all direct or indirect claims (including claims for consequential damages) which may be made against the Manager and M&G Investments in this regard. This indemnity does not extend to any claims in respect of loss or damage which results from any negligent or wilful errors or omissions committed by the Manager.

Existing M&G Adviser Number Existing FSP license number

Name of Financial Adviser

Name of Financial Services Provider

Signature of Financial Adviser

Place

Date

7 Investor declaration

By signing this form, you confirm that:

- The Manager has:
 - Provided you with sufficient business information, confirmation of the required management company licence and confirmation of the areas of financial service that it is licensed to offer within M&G Investments.
 - Not done any financial or investment analysis for you. There is the risk that your chosen investment portfolio or fund may not be entirely appropriate for your circumstances.
- You understand that:
 - It is your responsibility to carefully consider on your own, or by seeking advice from a Financial Adviser, whether your chosen fund's benchmark and terms and conditions are appropriate for your objectives, financial situation and particular needs.
 - The market value of your investment will fluctuate in line with the market values of the underlying securities of the funds. The investment is subject to any volatility in the underlying markets, including exchange rate fluctuations.
 - This application, together with the main trust deed, supplemental trust deed, fund fact sheets and/or Minimum Disclosure Document of your chosen unit trust fund/s constitute the entire agreement between you and the Manager.
- The source of any investment amount has been declared and any other aspect of a transaction relating to this investment complies with the Financial Intelligence Centre Act (FICA).
- Submissions made are in accordance with the provisions of the Income Tax Act (where applicable), and in accordance with the provisions of the international tax treaties whereby:
 - The information you provide in this form, including but not limited to your tax residency, is to the best of your knowledge and belief, accurate and complete; and
 - You undertake to forthwith inform M&G Investments in writing should the circumstances referred to in this declaration change.
- You are acting for your own account and you have made your own independent decision to enter into this investment.
- You are not relying on any communication from the Manager – whether written, oral or implied – as investment advice or as a recommendation to enter into the investment. You are not considering the information and explanations relating to the terms and conditions of an investment as investment advice or a recommendation.
- You have received no assurance or guarantee from the Manager regarding the expected returns of the investment.
- The information in this form is true and correct. All material facts must be accurately disclosed. You are responsible for the accuracy and completeness of all answers, statements or other information provided on your behalf.
- Where this application is signed in a representative capacity, you have the necessary authority to do so, and this transaction is within your power.
- Information relating to your account (including duplicate statements, valuations and other information that may be required from time to time) may be released to you or to the Financial Adviser indicated in this application.
- You have received the following information from either M&G Investments or your Financial Adviser and have read it:
 - The most recent version of the terms and conditions of this investment available on our website (www.mandg.co.za/personal-investor/terms-and-conditions)
 - The Fund Fact Sheet/s or Minimum disclosure document/s (MDD) of your chosen unit trust funds
 - The risk warning (below)

Risk warning

CISs are generally medium-to long-term investments. Past performance is not necessarily a guide to future investment performance. The prices in respect of participatory interests in a CIS portfolio are calculated on a net asset value basis. This means the price is the total net market value of all assets of the particular portfolio of the CIS divided by the total number of participatory interests in such portfolio of the CIS. Any market movements – for example in share prices, bond prices, money market prices or currency fluctuations – relevant to the underlying assets comprising the applicable CIS portfolio in which participatory interests are held may cause the value of such underlying assets to go up or down. As a result, the price of the participatory interests linked to your policy may go up or down. Participatory interests in CISs are traded at the ruling forward price of the day, meaning that transactions are processed during the day before you or the Manager of the CIS know what the price at the end of the day will be. The price and therefore the number of participatory interests involved in the transaction are only known on the following day. The CIS may borrow up to 10% of the scheme value, and it may also lend any scrip (proof of ownership of an investment instrument) that it holds to earn additional income. A M&G CIS may consist of portfolios comprising different asset classes and types that are subject to different fees and charges. The Manager of the CIS will pay your Financial Adviser a standard ongoing adviser fee, which is included in the overall costs of the CIS. A summary with all fees and maximum initial and ongoing adviser fees in respect of each CIS linked to your policy is available on our website. The Manager of the CIS may, at its discretion, close your chosen CIS to new investors and to additional investments by existing investors to make sure that it is managed in accordance with its mandate. It may also stop your existing debit order investment. The Manager makes no guarantees as to the capital invested in the CIS or the returns thereof. Excessive withdrawals from the CIS may place it under liquidity pressure and, in such circumstances, a process of ring fencing withdrawal instructions and managed pay outs over time may be followed. A money market fund is not a bank deposit account and The M&G Money Market Fund aims to maintain a constant price of 100 cents per participatory interest in such scheme. A Feeder fund may only invest in a single portfolio of a CIS which levy its own charges that could result in a higher fee structure for these funds. Foreign securities including foreign CISs may be included in underlying CIS portfolios. As a result, those CIS portfolios may face material risks. The volatility of the CIS may be higher and the liquidity of the underlying securities comprising the applicable portfolio of the CIS may be restricted due to relative market sizes and market conditions. The CIS's ability to settle securities and to repatriate investment income, capital or the proceeds of sales of securities may be adversely affected for multiple reasons including market conditions, macro-economic and political circumstances. Further, the return on the securities comprising a particular portfolio may be affected (positively or negatively) by the difference in tax regimes between the domestic and foreign tax jurisdictions. The availability of market information and information on any underlying sub-funds may be delayed. The investor acknowledges the inherent risk associated with the selected CISs and that there are no guarantees. M&G Investments is a member of the Association of Savings and Investments of South Africa.

Full name of investor or person authorised to sign on behalf of investor

Capacity

Signature of investor or person authorised to sign on behalf of investor

Place

Date

D	D	M	M	C	C	Y	Y
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Unit Trust

FICA and supporting documentation annexure

In terms of the Financial Intelligence Centre Act (FICA), before M&G Investments can enter into any transaction it is mandatory that we obtain and verify certain information from an investor. Where the investor is a legal entity, we need to identify the legal entity and its beneficial owners, controlling persons and authorised signatories, prior to entering into a transaction. We retain the right to contact you to request additional information/documentation should it be needed.

Please ensure that documents clearly show your full name, surname, identity number, issue date and photograph. If a smart card is used, ensure that we receive a clear copy of both the front and back of the smart card.

Individuals/Sole Proprietorship

1. South African issued identity document ("ID"), smart card or temporary ID/drivers licence/passport	<input type="checkbox"/>
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Minor

1. Birth Certificate/Unabridged Birth Certificate	<input type="checkbox"/>
2. Proof of Identity of Parent or Guardian, Proof of guardianship (if not Parent), Annexure A – completed by Parent or Guardian	<input type="checkbox"/>

Close Corporations

1. The most recent CK1 (founding statement), CK2 (Amended founding statement) or CIPC print out	<input type="checkbox"/>
2. Identity document for each member and the persons authorised to act	<input type="checkbox"/>
3. Proof of authority to act in the form of a members' resolution	<input type="checkbox"/>

Unlisted SA Company

1. The most recent CM1/COR14.3 (Certificate of incorporation) or CIPC print out	<input type="checkbox"/>
2. The most recent CM29/COR39 or CIPC print out	<input type="checkbox"/>
3. A company organogram or a letter from the SA company's accountant/auditor/company secretary listing all shareholders who hold 25% or more of the voting rights (directly or indirectly)	<input type="checkbox"/>
4. Identity document of each natural person holding 25% or more of the voting rights (directly or indirectly), the managing director of the company and persons authorised to act on behalf of the company.	<input type="checkbox"/>
5. Proof of authority to act in the form of a directors' resolution	<input type="checkbox"/>

Listed Company

1. Official documentation from the relevant securities exchange, or other acceptable source, reflecting current listing status	<input type="checkbox"/>
2. Identity document of the managing director and persons authorised to act	<input type="checkbox"/>
3. Proof of authority to act: Power of attorney, mandate, directors resolution or valid court order	<input type="checkbox"/>

Foreign Company

1. Official documentation reflecting the registered name, registration number, registered address, and any name changes since incorporation	<input type="checkbox"/>
2. A company organogram or a letter from the company's accountant/auditor/company secretary listing all shareholders who hold 25% or more of the voting rights (directly or indirectly)	<input type="checkbox"/>
3. Identity document of each natural person holding 25% or more of the voting rights (directly or indirectly), the managing director of the company and persons authorised to act on behalf of the company.	<input type="checkbox"/>
4. Proof of authority to act in the form of a directors' resolution	<input type="checkbox"/>

Unit Trust

FICA and supporting documentation annexure

Partnership

1. Partnership agreement	<input type="checkbox"/>
2. Identity document for each partner, controlling persons and the persons authorised to act	<input type="checkbox"/>
3. Proof of authority to act: Partners' resolution	<input type="checkbox"/>

Trusts

1. Trust deed or other founding document	<input type="checkbox"/>
2. Letters of authority issued by the Master of the High Court where the trust was registered (or equivalent document for international trusts)	<input type="checkbox"/>
3. Identity document for each trustee, each named beneficiary, the settlor and the persons authorised to act. If the beneficiary, trustee or settlor of the trust is a legal entity: the most recent CM1/COR14.3 (Certificate of incorporation) or CIPC print out, partnership agreement or trust deed.	<input type="checkbox"/>
4. Proof of authority to act in the form of a resolution/letters of authority	<input type="checkbox"/>

Pension Funds/Medical Schemes

1. Registration certificate from the Registrar of Pension Funds (FSCA) or Council of Medical Schemes	<input type="checkbox"/>
2. Identity document for each person authorised to act	<input type="checkbox"/>
3. Proof of authority to act in the form of a resolution	<input type="checkbox"/>

Other Legal Entities

1. Founding documents (i.e. signed constitution or other founding documents)	<input type="checkbox"/>
2. Identity documents for each person authorised to act	<input type="checkbox"/>
3. Proof of authority to act in the form of a resolution	<input type="checkbox"/>

Agent, Authorised Persons, Controlling Person

1. Authorising resolution, power of attorney, court order, letter of executorship etc.	<input type="checkbox"/>
2. Identity document for each person authorised to act on behalf of the investor	<input type="checkbox"/>
3. Identity document for each Controlling Person of the investor	<input type="checkbox"/>