

Investor Address Declaration Form

MandG Investments Unit Trusts South Africa (RF) Ltd (Registration number: 1999/005242/06) (the Manager) is an approved CIS management company (#29) under the Collective Investment Schemes Control Act, 2002 (CISCA). The M&G Living Annuity is underwritten by MandG Investments Life South Africa (RF) Ltd (Registration number: 2008/013338/06) (M&G Life) an approved long-term insurer under the Insurance Act, 2017. Portfolio assets are managed by MandG Investment Managers (Pty) Ltd (Registration number: 2013/051515/07), which is an approved discretionary Financial Services Provider (#45199) for the M&G SA group (M&G Investments).

Our contact details

Sharecall: 0860 105 775

International: +27 21 670 5101

Email: instructionsa@mymandg.co.za

Web: www.mandg.co.za

Postal address:

PO Box 23167

Claremont

7735

Physical address:

7th Floor, Protea Place

30 Dreyer Street

Claremont

How to complete this form

- This form is to be completed by both the investor and the person confirming the investor's address, should the investor be unable to provide proof of their residential address.
- We will only accept confirmation from a co-habitee or property owner.
- This form must be stamped and signed by a Commissioner of Oaths or the application will be rejected.
- Information written outside of the relevant fields will not be considered when processing your application.

Document checklist

- This completed form, signed and dated by both parties
- A copy of the co-habitee/property owner's South African bar-coded ID/Smartcard (if SA resident) or valid passport (if foreign national)
- Clear copy of a utility bill of the person confirming the investor's address*

* Please refer to the **FICA and Supporting Documentation Annexure** for a list of documentation we will accept

What happens next

- Please e-mail a copy of this completed and signed form accompanied by the supporting documentation to instructionsa@mymandg.co.za. We reserve the right to request additional documents, if required.
- Processing can only happen once all the necessary documentation has been received. Failure to do so will cause a delay in your funds being invested.

Important information

- **Daily cut-off times:** 11:30 for M&G Money Market Fund
13:30 for all other investment options

1 Declaration by investor

Existing M&G Investor Number

Title First name(s)

Surname

Nationality Date of birth

ID number
(Passport number if foreign national)

Place of birth City Country

Residential address

Country Postal code

I declare that I live at the above address and cannot provide an acceptable utility bill or bank statement in my name that reflects this address

Signature of investor Place

Date

2 Declaration by the person confirming the investor's address

Title First name(s)

Surname

Nationality Date of birth

ID number
(Passport number if foreign national)

Place of birth City Country

Residential address

Country Postal code

I own the property at the above mentioned address Yes No

I reside at the above mentioned address Yes No

My relationship to the investor (e.g. spouse, guardian)

I confirm that the investor resides at

Country Postal code

I declare that the above information is true and correct

Signature of co-habitee/
property owner Place

Date

Commissioner of Oaths

I certify that the deponent acknowledged that he/she knew and understood the contents of the above declaration that I duly administered the oath contained in Regulation No. R1258 of July 1972, and that the deponent signed the declaration in my presence.

Signature of the
Commissioner of Oaths

Place

Date

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Stamp of the
Commissioner of Oaths

Unit Trust

FICA and supporting documentation annexure

In terms of the Financial Intelligence Centre Act (FICA), before M&G Investments can enter into any transaction it is mandatory that we obtain and verify certain information from an investor. Where the investor is a legal entity, we need to identify the legal entity and its beneficial owners, controlling persons and authorised signatories, prior to entering into a transaction. We retain the right to contact you to request additional information/documentation should it be needed.

Please ensure that documents clearly show your full name, surname, identity number, issue date and photograph. If a smart card is used, ensure that we receive a clear copy of both the front and back of the smart card.

Individuals/Sole Proprietorship

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|-------------------------------------------------------------------------------------------------------|--------------------------|
| 1. South African issued identity document ("ID"), smart card or temporary ID/drivers licence/passport | <input type="checkbox"/> |
|-------------------------------------------------------------------------------------------------------|--------------------------|

Minor

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|---------------------------------------------------------------------------------------------------------------------------------|--------------------------|
| 1. Birth Certificate/Unabridged Birth Certificate | <input type="checkbox"/> |
| 2. Proof of Identity of Parent or Guardian, Proof of guardianship (if not Parent), Annexure A – completed by Parent or Guardian | <input type="checkbox"/> |

Close Corporations

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|-------------------------------------------------------------------------------------------------|--------------------------|
| 1. The most recent CK1 (founding statement), CK2 (Amended founding statement) or CIPC print out | <input type="checkbox"/> |
| 2. Identity document for each member and the persons authorised to act | <input type="checkbox"/> |
| 3. Proof of authority to act in the form of a members' resolution | <input type="checkbox"/> |

Unlisted SA Company

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|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|
| 1. The most recent CM1/COR14.3 (Certificate of incorporation) or CIPC print out | <input type="checkbox"/> |
| 2. The most recent CM29/COR39 or CIPC print out | <input type="checkbox"/> |
| 3. A company organogram or a letter from the SA company's accountant/auditor/company secretary listing all shareholders who hold 25% or more of the voting rights (directly or indirectly) | <input type="checkbox"/> |
| 4. Identity document of each natural person holding 25% or more of the voting rights (directly or indirectly), the managing director of the company and persons authorised to act on behalf of the company. | <input type="checkbox"/> |
| 5. Proof of authority to act in the form of a directors' resolution | <input type="checkbox"/> |

Listed Company

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| 1. Official documentation from the relevant securities exchange, or other acceptable source, reflecting current listing status | <input type="checkbox"/> |
| 2. Identity document of the managing director and persons authorised to act | <input type="checkbox"/> |
| 3. Proof of authority to act: Power of attorney, mandate, directors resolution or valid court order | <input type="checkbox"/> |

Foreign Company

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|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|
| 1. Official documentation reflecting the registered name, registration number, registered address, and any name changes since incorporation | <input type="checkbox"/> |
| 2. A company organogram or a letter from the company's accountant/auditor/company secretary listing all shareholders who hold 25% or more of the voting rights (directly or indirectly) | <input type="checkbox"/> |
| 3. Identity document of each natural person holding 25% or more of the voting rights (directly or indirectly), the managing director of the company and persons authorised to act on behalf of the company. | <input type="checkbox"/> |
| 4. Proof of authority to act in the form of a directors' resolution | <input type="checkbox"/> |

Unit Trust

FICA and supporting documentation annexure

Partnership

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|----------------------------------------------------------------------------------------------|--------------------------|
| 1. Partnership agreement | <input type="checkbox"/> |
| 2. Identity document for each partner, controlling persons and the persons authorised to act | <input type="checkbox"/> |
| 3. Proof of authority to act: Partners' resolution | <input type="checkbox"/> |

Trusts

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| 1. Trust deed or other founding document | <input type="checkbox"/> |
| 2. Letters of authority issued by the Master of the High Court where the trust was registered (or equivalent document for international trusts) | <input type="checkbox"/> |
| 3. Identity document for each trustee, each named beneficiary, the settlor and the persons authorised to act. If the beneficiary, trustee or settlor of the trust is a legal entity: the most recent CM1/COR14.3 (Certificate of incorporation) or CIPC print out, partnership agreement or trust deed. | <input type="checkbox"/> |
| 4. Proof of authority to act in the form of a resolution/letters of authority | <input type="checkbox"/> |

Pension Funds/Medical Schemes

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|------------------------------------------------------------------------------------------------------|--------------------------|
| 1. Registration certificate from the Registrar of Pension Funds (FSCA) or Council of Medical Schemes | <input type="checkbox"/> |
| 2. Identity document for each person authorised to act | <input type="checkbox"/> |
| 3. Proof of authority to act in the form of a resolution | <input type="checkbox"/> |

Other Legal Entities

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|------------------------------------------------------------------------------|--------------------------|
| 1. Founding documents (i.e. signed constitution or other founding documents) | <input type="checkbox"/> |
| 2. Identity documents for each person authorised to act | <input type="checkbox"/> |
| 3. Proof of authority to act in the form of a resolution | <input type="checkbox"/> |

Agent, Authorised Persons, Controlling Person

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|----------------------------------------------------------------------------------------|--------------------------|
| 1. Authorising resolution, power of attorney, court order, letter of executorship etc. | <input type="checkbox"/> |
| 2. Identity document for each person authorised to act on behalf of the investor | <input type="checkbox"/> |
| 3. Identity document for each Controlling Person of the investor | <input type="checkbox"/> |